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	THE COLOR WASTE BY TOUT AND TRADELIARY OFFICE	ATTORNEY'S DOCKET NUMBER							
TOTAL TOTAL	TIMENT OF COMMERCE PATENT AND TRADEMAIK OFFICE	S004-4005 (PCT)							
TRANSMITTAL LETTER	U.S. APPLICATION NO A LEGION Sec. (CP) 1.5)								
DESIGNATED/ELECT	ED OFFICE (DO/EO/US) NG UNDER 35 U.S.C. 371	09/581602							
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED							
PCT/JP99/05725 €	15 October 1999 (15.10.99)	19 October 1998 (19.10.98)							
TITLE OF INVENTION INFORMATIO	ON REPRODUCING APPARATUS, IN	FORMATION RECORDING							
APPARATUS, INFORMATION MET APPLICANT(S) FOR DO/EO/US		WETHOT AND ECC.							
	Manabu OUMI et al.	in a items and other information:							
Applicant herewith submits to the United State	s Designated/Elected Office (DO/EO/US) the follo	wing items and other information.							
1. X This is a FIRST submission of item	is concerning a filing under 35 U.S.C. 371.	35 U.S.C. 371.							
_	NT submission of items concerning a filing under a	v time rather than delay							
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.									
	Preliminary Examination was made by the 19th me	min non the carrest classics.							
5. X A copy of the International App	lication as filed (35 U.S.C. 371(c)(2)) (required only if not transmitted by the Intern	ational Burcau).							
b 🔽 has been transmitted by	v the International Bureau.								
c. is not required, as the a	application was filed in the United States Rece	iving Office (RO/US).							
6 X A translation of the Internationa	d Application into English (35 U.S.C. 371(c)(2	2)).							
7. Amendments to the claims of th	e International Application under PCT Article	(19 (35 U.S.C. 371(c)(3))							
	h (required only if not transmitted by the Inter	mational Bureau).							
b. have been transmitted	by the International Bureau. owever, the time limit for making such amend	ments has NOT expired.							
<u> </u>		meno no ve e organia							
d. have not been made an	nd will not be made. s to the claims under PCT Article 19 (35 U.S.)	C. 371(c)(3)).							
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36									
(35 U.S.C. 371(c)(5)).		out under 1 01 miles es							
Items 11. to 16. below concern docum									
	ement under 37 CFR 1.97 and 1.98.								
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. A FIRST preliminary amendme	ent.								
A SECOND or SUBSEQUENT	preliminary amendment.	•							
14. A substitute specification.		• .							
15. A change of power of attorney	and/or address letter.								
16. X Other items or information:									
Form PCT/RO/101									
Form PCT/1B/301									
Form PCT/1B/308									
Patent Cover Pag Form PCT/ISA/210									
1									
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Annex US.II, page 2

PCT Applicant's Guide - Volume II - National Chapter - US

US APPLES TOWNS OF	8760	2 INTI	RNATIONAL APPLICATION NO. CT/JP99/05725			SOOA - AC	ET MAIBER 105 (PCT)	
			C1/01/01/03/23		CA	LCULATIONS		
BASIC NATIONA Neither interna	ational prelimina	R 1.492 (a) (ary examinat	ion fee (37 CFR 1.482)					
nor internation and Internation	nal search fee (3° nal Search Repo	7 CFR 1.445 rt not prepar	(a)(2)) paid to USPTO ed by the EPO or JPO (37 CFR 1.482) not paid to	\$970.00				
USPTO but In	iternational Sear	ch Report pr	epared by the EPO or JPO.			-		
but internation	nal search fee (3	7 CFR 1.445	(37 CFR 1.482) not paid to U(a)(2)) paid to USPTO	\$760.00				
but all claims	did not satisfy p	rovisions of	paid to USPTO (37 CFR 1.48 PCT Article 33(1)-(4)	\$670.00				
International pand all claims	satisfied provisi	ions of PCT.	paid to USPTO (37 CFR 1.48 Article 33(1)-(4)	\$96.00				
	ENTER	APPROP	RIATE BASIC FEE A	MOUNT =	S	870.00		
Surcharge of \$130 months from the	0.00 for furnishi earliest claimed	ng the oath o priority date	r declaration later than (37 CFR 1.492(e)).	20 30	s			
CLAIMS	NUMBER I	FILED	NUMBER EXTRA	RATE				
Total claims	37	- 20 =	17	X \$18.00	S	306.00		
Independent claims	31	- 3 =	28	X \$78.00	\$	2184.00		
MULTIPLE DEPI				+ \$260.00	\$	260.00		
			F ABOVE CALCULA		\$	3620.00		
Reduction of 1/2 must also by filed	for filing by sma (Note 37 CFR	III entity, if a 1.9, 1.27, 1.2	pplicable. A Small Entity Sta 8).	atement	\$			
			SUB	TOTAL =	\$	3620.00		
Processing fee of months from the	\$130.00 for furnearliest claimed	nishing the E priority date	nglish translation later than (37 CFR 1.492(f)).	20 30 +	\$			
			TOTAL NATIO	VAL FEE =	\$	3620.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$				
TOTAL FEES ENCLOSED =					S	3620.00		
					An	nount to be: refunded	S	
<u></u> -						charged	\$	
a. X A check in the amount of \$3620.00 to cover the above fees is enclosed.								
b. Please of A duplic	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-0268 . A duplicate copy of this sheet is enclosed.								
					//	7	1	
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRE	SPONDENCE TO:			6	7 //	re/ W	Vanc.	
	. Adams,	Esq.		SIGNATU	IRE:	~~·/U		
Adams &				Dru	Ce	L. Adams	3	
50 Broadway-31st F1.			DI U	<u>-</u>	D. Adams			
New York, NY 10004				206				
25,386 REGISTRATION MIMBER								

Re: New patent application of Manabu OUMI et al.

for Information Reproducing Apparatus, Information Recording Apparatus, Information Medium, Information Reproducing Method and Information Recording Method USED IN INFORMATION REPRODUCTION AND RECORDING UTILIZING NEAR-FIELD LIGHT

comprising transmittal letter, title, specification (pgs. 1-104), 37 claims (pgs.89-104), abstract (pg.105), 23 sheets of formal drawings containing thereon Figs. 1A-25D, Forms PCT/RO/101, PCT/1B/301, PCT/1B/308 and PCT/ISA/210, patent cover page, express mail certification and check in the amount \$3620.00

Attorney's Docket No: S004-4005(PCT)

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

Express Mail Tracking Number: EI 994 320 133 US

Date of Deposit: JUNE 15, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Box PCT, ASSISTANT COMMISSIONER OF PATENTS, Washington, DC 20231.

Donna Riccardulli